

02-15-02

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

02/12/02  
10914 U.S. PTO

In re Application of:

Brian N. Tufte

Serial No.: Unknown

Filing Date: February 12, 2002

For: LIGHTING APPARATUS

Docket No.: 1076.1101107

11046 U.S. PTO  
10/075488  
02/12/02

**TRANSMITTAL SHEET**

**BOX PATENT APPLICATION**  
The Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of EL799292500US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 12th day of February, 2002.

By Brian N. Tufte

We are transmitting herewith the attached Patent Application including the following:

- ☒ 55 sheets of specification.
- ☒ 22 claims.
- ☒ 1 sheet of Abstract.
- ☒ 34 sheets of formal drawings.
- ☒ Executed Declaration.
- ☒ Applicant hereby claims small entity status under 37 C.F.R. 1.9 and/or 1.27.
- ☐ An Assignment of the invention to     is being filed contemporaneous with this patent application.
- ☐ A certified copy of a     application, serial no.    , filed    , 19   , the right of priority of which is claimed under 35 U.S.C. 119.

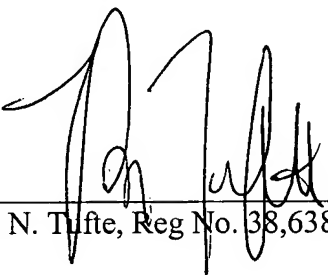
| CLAIMS AS FILED                        |         |         |              |       |       |       |
|--|---------|---------|--------------|-------|-------|-------|
|  | (1)     | (2)     | SMALL ENTITY |       | OTHER |       |
| FOR:                                   | # FILED | # EXTRA | Rate         | Fee   | Rate  | Fee   |
| BASIC FEE                              |         |         |              | \$370 |       | \$740 |
| TOTAL CLAIMS                           | 22-20 = | 2       | x9=          | \$18  | x18=  | \$    |
| INDEPENDENT CLAIMS                     | 4-3 =   | 1       | X42=         | \$42  | X84=  | \$0   |
| ( ) MULTIPLE DEPENDENT CLAIM PRESENTED |         |         | +130=        | \$    | +260= | \$0   |
| TOTAL                                  |         |         | \$430.00     |       | \$    |       |

\*If the difference in Column (1) is less than zero, enter "0" in Column 2.

☐ Other \_\_\_\_\_.

☒ A check in the amount of \$ 430.00 is enclosed.

☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
 Brian N. Tufte, Reg No. 38,638

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